

03/13/01



UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

839.443

First Named Inventor or Application Identifier

TOMOYA YAMAMOTO, ET AL.

Express Mail Label No.

JCS923 U.S. PTO
09/804191
03/13/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification *Total Pages* **190**
4. ☒ Drawing(s) (35 USC 113) *Total Sheets* **7**
5. ☐ Oath or Declaration *Total Pages*
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☒ Transmittal Statement Regarding Claims
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. ____/_____
Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **05514** (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

NAME					
Address					
City		State		Zip Code	
Country		Telephone		Fax	

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	92-20 =	72	X \$ 18.00 =	\$1296.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1-3 =	0	X \$ 80.00 =	\$000.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00 =	\$270.00
				BASIC FEE (37 CFR 1.16(a))	\$710.00
	Total of above Calculations =				\$2276.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	TOTAL =				\$2276.00

19. Small entity status

- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.


20. ☒ A check in the amount of \$ 2276.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ _____ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Brian L. Klock - Reg. No. 36,570
SIGNATURE	
DATE	March 13, 2001

BLK\cmv
EFH:meg

839.443

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC973 U.S. PTO
09/804191
03/13/01

In re Application of:)
TOMOYA YAMAMOTO ET AL.) Examiner: Unassigned
U.S. Application) Group Art Unit: Unassigned
Based on JP 2000-069100)
Filed: Herewith)
For: PROCESS FOR PRODUCING AN :
ELECTRODE MATERIAL FOR A)
RECHARGEABLE LITHIUM :
BATTERY, AN ELECTRODE)
STRUCTURAL BODY FOR A :
RECHARGEABLE LITHIUM)
BATTERY, PROCESS FOR :
PRODUCING SAID ELECTRODE)
STRUCTURAL BODY, A :
RECHARGEABLE LITHIUM)
BATTERY IN WHICH SAID :
ELECTRODE STRUCTURAL)
BODY IS USED, AND A :
PROCESS FOR PRODUCING)
SAID RECHARGEABLE :
LITHIUM BATTERY) March 13, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

STATEMENT REGARDING CLAIMS

Sir:

The claims are 1-80, with claim 1 being the sole independent claim. Applicants are aware that each of claims 13-15 and 20-80 are in improper multiple dependent form. In

the interest of filing this application in a timely manner, Applicants have calculated the filing fee according to MPEP §608.01(n), i.e., each improper multiple dependent claim has been counted as one claim. Therefore, Applicants have included payment for a total of 92 claims, and Applicants will file a Preliminary Amendment correcting the improper multiple dependency in due course.

Any inquiries regarding this application should be directed to our New York office by telephone at (212) 212-2100. All correspondence should be directed to our New York office at the below listed address.

Respectfully submitted,


Attorney for Applicants

Registration No. 36,570

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

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